



## PATENT APPLICATION

Attorney Docket: YOR920000794US1 (8728-467)

In re application of: Maes, Stephane Herman  
Serial No.: 09/811,966 Group Art Unit: 2154  
Filed: March 19, 2001 Examiner: Ramsey Refai  
For: INTELLIGENT DOCUMENT FILTERING

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OCT 20 2004

Technology Center 2100

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450

## AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.  
☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE OR RATE ADDIT. FEE
TOTAL	42*	MINUS 42**	= 0	X 9	\$ 0 X 18 \$ 0
INDEP.	3*	MINUS 3***	= 0	X 44	\$ 0 X 88 \$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				X 150	\$ X 300 \$ 0
TOTAL					OR TOTAL \$ 0

ADDIT. FEE \$ .00

\*If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\*If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\*If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge Deposit Account No. 50-0510/IBM in the amount of \$ \_\_\_\_\_. Two (2) copies of this sheet are enclosed.  
☐ Please charge fee of \$ \_\_\_\_ for \_\_\_\_\_ by Credit Card Payment Form PTO-2038 enclosed herewith  
☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

F. CHAU & ASSOCIATES  
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Woodbury New York 11797  
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*David L. Heath*  
David L. Heath  
Reg. No. 46,763  
Attorney for Applicant(s)

## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on October 12, 2004.

Dated: 10/12/04

*David L. Heath*  
David L. Heath



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Maes, Stephane Herman Examiner: Ramsey Refai  
Serial No: 09/811,966 Group Art Unit: 2154  
Filed: March 19, 2001 Docket: YOR9-2000-0794US1  
(8728-467)

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**RESPONSE UNDER 37 C.F.R. §1.111**

Sir:

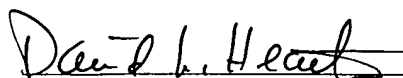
In response to the Office Action mailed on July 12, 2004, please reconsider this application in light of the following amendments and remarks.

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**CERTIFICATE OF MAILING 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence (and any document referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail, postage paid in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313-1450 on **October 12, 2004**.

Dated: 10/12/04

  
David L. Heath